

Compactly locally uniformly convex functions¹

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Let $(X, \|\cdot\|)$ be a real Banach space; one says that:

X is *uniformly convex (rotund)* if

$$\forall \varepsilon > 0, \exists \delta > 0, \forall x, y \in S_X : \|y - x\| \geq \varepsilon \Rightarrow \left\| \frac{1}{2}(x + y) \right\| \leq 1 - \delta,$$

X is *locally uniformly convex (rotund)* if

$$\forall x \in S_X, \forall \varepsilon > 0, \exists \delta > 0, \forall y \in S_X : \\ \|y - x\| \geq \varepsilon \Rightarrow \left\| \frac{1}{2}(x + y) \right\| \leq 1 - \delta.$$

We may continue with

X is *locally uniformly convex (rotund) at* $x_0 \in S_X$ if

$$\forall \varepsilon > 0, \exists \delta > 0, \forall x \in S_X : \|x - x_0\| \geq \varepsilon \Rightarrow \left\| \frac{1}{2}(x + x_0) \right\| \leq 1 - \delta.$$

The sequential characterizations:

X is uniformly convex (UC) if and only if

$$\forall (x_n), (y_n) \subset S_X : \left\| \frac{1}{2}(x_n + y_n) \right\| \rightarrow 1 \Rightarrow \|x_n - y_n\| \rightarrow 0;$$

X is locally uniformly convex (LUR) if and only if

$$\forall x \in S_X, \forall (x_n) \subset S_X : \left\| \frac{1}{2}(x + x_n) \right\| \rightarrow 1 \Rightarrow \|x_n - x\| \rightarrow 0;$$

X is LUR at $x_0 \in S_X$ if and only if

$$\forall (x_n) \subset S_X : \left\| \frac{1}{2}(x_n + x_0) \right\| \rightarrow 1 \Rightarrow \|x_n - x_0\| \rightarrow 0.$$

These notions were extended to proper convex functions.

Let $f : X \rightarrow \overline{\mathbb{R}}$ be a proper convex function.

“The functional $f(x)$ is called *uniformly convex* if there exists a function $\delta(r)$, $\delta(r) > 0$ for $r > 0$ (which can be assumed monotonic), such that $f((x+y)/2) \leq \frac{1}{2}(f(x) + f(y)) - \delta(\|x - y\|)$ for all x, y ”;² equivalently,

$\forall \varepsilon > 0, \exists \delta > 0, \forall x, y \in \text{dom } f :$

$$\|x - y\| \geq \varepsilon \Rightarrow f\left(\frac{1}{2}x + \frac{1}{2}y\right) \leq \frac{1}{2}f(x) + \frac{1}{2}f(y) - \delta;$$

f is *locally uniformly convex* (LUC or LUR) if

$\forall x \in \text{dom } f, \forall \varepsilon > 0, \exists \delta > 0, \forall y \in \text{dom } f :$

$$\|x - y\| \geq \varepsilon \Rightarrow f\left(\frac{1}{2}x + \frac{1}{2}y\right) \leq \frac{1}{2}f(x) + \frac{1}{2}f(y) - \delta;$$

²[LP66] E.S. Levitin, B.T. Polyak, *Convergence of minimizing of sequences in the conditional-extremum problem*, Dokl. Akad. Nauk SSSR 168 (1966), 997–1000.

f is *locally uniformly convex* at $x_0 \in \text{dom } f$ if³

$\forall \varepsilon > 0, \exists \delta > 0, \forall x \in \text{dom } f :$

$$\|x - x_0\| \geq \varepsilon \Rightarrow f\left(\frac{1}{2}x + \frac{1}{2}x_0\right) \leq \frac{1}{2}f(x) + \frac{1}{2}f(x_0) - \delta.$$

We may add the strongest convexity notion (possibly, inspired by the parallelogram law):

“A functional $f(x)$ is said to be *strongly convex* if there exists a $\gamma > 0$ such that $f((x + y)/2) \leq \frac{1}{2}(f(x) + f(y)) - \frac{1}{4}\gamma \|x - y\|^2$ for all x, y ”.⁴

³[Z83] C. Z., *On uniformly convex functions*, J. Math. Anal. Appl. 95 (1983), 344–374.

⁴[P66] B.T. Polyak, *Existence theorems and convergence of minimizing of sequences in extremum problems with constraints*, Dokl. Akad. Nauk SSSR 166 (1966), 287–290.

Of course, each of the above convexity notions has sequential variants; for example,

f is *locally uniformly convex* at $x_0 \in \text{dom } f$ if and only if⁵

$$\forall (x_n) \subset \text{dom } f : \frac{1}{2}f(x_n) + \frac{1}{2}f(x_0) - f\left(\frac{1}{2}x_n + \frac{1}{2}x_0\right) \rightarrow 0 \Rightarrow x_n \rightarrow x_0;$$

f is *locally uniformly convex* if it is locally uniformly convex at each $x \in \text{dom } f$.

In 1973, Vlasov⁶ introduced the following notion:

X is *compactly locally uniformly convex (rotund)* if (x_n) has a convergent subsequence whenever $x, x_n \in X$, $\|x_n\| = \|x\| = 1$ and $\|x_n + x\| \rightarrow 2$.

⁵[BV11] J.M. Borwein, J.D. Vanderwerff, *Convex Functions: Constructions, Characterizations and Counterexamples*, Cambridge University Press, 2011.

⁶[V73] L.P. Vlasov, Approximative properties of sets in normed linear spaces, *Russian Math. Surveys*, 28 (1973), 1–66.

So, it is natural to extend this notion to convex functions; more precisely, we say that

the proper convex function $f : X \rightarrow \overline{\mathbb{R}}$ is *locally uniformly convex* or *rotund* (CLUR for short) at $x_0 \in \text{dom } f$ if $(x_n) \subset \text{dom } f$ has a convergent subsequence whenever $\frac{1}{2}f(x_n) + \frac{1}{2}f(x_0) - f(\frac{1}{2}x_n + \frac{1}{2}x_0) \rightarrow 0$.

Our aim is to characterize the previous notion and to study its relation with other notions that correspond to geometric properties of Banach spaces.

The sets $C_\delta f(x_0)$, $A_\delta^X f(x_0)$, $A_\delta f(x_0)$ that appear in the statement of next result are defined later on, while $\alpha(S)$ is the Hausdorff index of non-compactness of $S \subset X$.

Our main result is the following:

Theorem

Let f be continuous function at $x_0 \in \text{dom } f$. The following statements are equivalent.

- (i) f is CLUR at x_0 ;
- (ii) If $x_n \in C_{1/n}f(x_0)$ for every n , then there exist a subsequence (x_{n_k}) and $y_0 \in C_0f(x_0)$ such that $x_{n_k} \rightarrow y_0$;
- (iii) $\alpha(A_{1/n}^X f(x_0)) \rightarrow 0$;
- (iv) $\alpha(\overline{A_{1/n}^X f(x_0)}) \rightarrow 0$;
- (v) $A_0^X f(x_0)$ is compact and for every $\varepsilon > 0$ such that $\overline{A_{1/n}^X f(x_0)} \subseteq B_\varepsilon(A_0^X f(x_0))$ for large n ;
- (vi) $\text{cl}(A_0 f(x_0))$ is compact and for every $\varepsilon > 0$, there exists $n \geq 1$ such that $\text{cl}(A_{1/n} f(x_0)) \subseteq B_\varepsilon(A_0 f(x_0))$ for large n ;
- (vii) $\alpha(\text{cl}(A_{1/n} f(x_0))) \rightarrow 0$.
- (viii) $\alpha(A_{1/n} f(x_0)) \rightarrow 0$.

Notations and preliminary results

- $(X, \|\cdot\|)$ is a Banach space, $(X^*, \|\cdot\|)$ its topological dual endowed with the dual norm, $(X^{**}, \|\cdot\|) := ((X^*, \|\cdot\|))^*$ the dual of X^*
- $\langle x, x^* \rangle := x^*(x)$ for $x \in X$ and $x^* \in X^*$
- $J_X : X \rightarrow X^{**}$ is the canonical isometry: $x^{**} := J_X(x) \in X^{**}$ is defined by $x^{**}(x^*) := \langle x, x^* \rangle$ for all $x^* \in X^*$; X is identified with $J_X(X) \subset X^{**}$, and so $x \in X$ and $A \subset X$ are often identified with $J_X(x)$ and $J_X(A)$, respectively
- $\langle x^*, x \rangle := \langle x^*, J_X(x) \rangle$ for $x \in X$ and $x^* \in X^*$
- S_X, B_X, U_X the unit sphere, unit open ball, unit closed ball of X
- $w := \sigma(X, X^*) (\subset \tau_{\|\cdot\|})$, $w^* := \sigma(X^*, X) (\subset \sigma(X^*, X^{**}))$

- For $A \subset X$: $\text{cl}_{w^*} A$ is the w^* -closure of A in X^{**} , \bar{A} is norm-closure of A in X ; $\text{int} A$ is the norm-interior of A
- For $A \subset X$ or $A \subset X^{**}$, $\text{cl} A$ is the norm-closure of A in X^{**} .
- $\mathcal{F}_E := \{F \subset E \mid F \text{ is finite}\}$, where E is a set.
- $\alpha(A) := \inf\{r > 0 \mid \exists E \in \mathcal{F}_X : A \subset E_r := E + rB_X\} \in [0, \infty]$
(with $\inf \emptyset := \infty$), the Hausdorff index of noncompactness of $\emptyset \neq A \subset X$
- $A_n \xrightarrow{H^+} A$ for $\emptyset \neq A, A_n \subset X$ ($n \geq 1$) if $\forall r > 0, \exists n_r \geq 1,$
 $\forall n \geq n_r : A_n \subset A + rB_X$

Consider $f : X \rightarrow \bar{\mathbb{R}} := \mathbb{R} \cup \{-\infty, \infty\}$

- $\text{dom} f := \{x \in X \mid f(x) < \infty\}$, the domain of f ; f is proper if $\text{dom} f \neq \emptyset$ and $f(x) \neq -\infty$ for every $x \in X$

- $\Lambda(X) := \{f : X \rightarrow \overline{\mathbb{R}} \mid f \text{ is proper and convex}\}$
- $\Gamma(X) := \{f \in \Lambda(X) \mid f \text{ is l.s.c.}\}$
- $f^* : X^* \rightarrow \overline{\mathbb{R}}$ is the conjugate of f ;
 $f^*(x^*) := \sup\{\langle x, x^* \rangle - f(x) \mid x \in X\}$; f^* is convex and w^* -lsc
- $\partial_\varepsilon f(x_0) := \{x^* \in X^* \mid \forall x \in X : \langle x - x_0, x^* \rangle \leq f(x) - f(x_0) + \varepsilon\}$
 if $f(x_0) \in \mathbb{R}$, $\varepsilon \in \mathbb{R}_+ := [0, \infty[$, $\partial_\varepsilon f(x_0) := \emptyset$ if $f(x_0) \notin \mathbb{R}$, the
 ε -subdifferential of f at x_0 ; $\partial_\varepsilon f(x_0)$ is w^* -closed and convex;
 $\partial f(x_0) := \partial_0 f(x_0)$
- $\partial_\varepsilon f^*(x_0^*) \subset X^{**}$; $\partial_\varepsilon^X f^*(x_0^*) := X \cap \partial_\varepsilon f^*(x_0^*)$
- $\iota_E : F \rightarrow \overline{\mathbb{R}}$, $\iota_E(u) := 0$ if $u \in E$, $\iota_E(u) := \infty$ if $u \in F \setminus E$ is the
 indicator function of $E \subset F$

The next result, concerning the Hausdorff index of non-compactness, is needed in some proofs. Notice that in the definition of $\alpha(A)$, as well as in statements and proofs, one may equivalently replace B_X by U_X .

Proposition HIN

([CSZ07, Props. 2.2, 2.3]^a) Let (C_n) be a sequence of nonempty closed subsets of X satisfying the condition $C_{n+1} \subseteq C_n$ for all n and let $C = \bigcap_{n=1}^{\infty} C_n$. Consider the following assertions:

(i) every sequence (x_n) with $x_n \in C_n, n \in \mathbb{N}$, has a convergent subsequence;

(ii) C is nonempty compact and $C_n \xrightarrow{H^+} C$.

(iii) there exists a compact set $E \subset X$ such that $C_n \xrightarrow{H^+} E$.

(iv) $\alpha(C_n) \rightarrow 0$ as $n \rightarrow \infty$.

(v) $\text{diam}(C_n) \rightarrow 0$.

(vi) $\forall (x_n) \subset (C_n), \exists x \in X : x_n \rightarrow x$.

Then (i) \Leftrightarrow (ii) \Leftrightarrow (iii) \Leftrightarrow (iv) \Leftrightarrow (v) \Leftrightarrow (vi). If C is a singleton, then (iv) \Leftrightarrow (v).

^a[CSZ07] A. K. Chakrabarty, P. Shunmugaraj, C. Z., *Continuity properties for the subdifferential and ε -subdifferential of a convex function and its conjugate*, J. Convex Anal., 14 (2007), 479–514.

Characterizations of CLUR functions in terms of sub-differentials

In the sequel X is a Banach space and $f \in \Gamma(X)$.

Definition

- (i) The function f is said to be *locally uniformly convex* or *locally uniformly rotund* (LUR, in short) at $x_0 \in \text{dom } f$, if $x_n \rightarrow x_0$ whenever $x_n \in \text{dom } f$ and $\frac{1}{2}f(x_n) + \frac{1}{2}f(x_0) - f(\frac{1}{2}x_n + \frac{1}{2}x_0) \rightarrow 0$;
- (ii) f is called *compactly locally uniformly convex* or *compactly locally uniformly rotund* (CLUR, in short) at $x_0 \in \text{dom } f$, if (x_n) has a convergent subsequence whenever $(x_n) \subset \text{dom } f$ and $\frac{1}{2}f(x_n) + \frac{1}{2}f(x_0) - f(\frac{1}{2}x_n + \frac{1}{2}x_0) \rightarrow 0$;
- (iii) f is LUR (resp., CLUR) if it is LUR (resp., CLUR) at all points of $\text{dom } f$.
- (iv) f is *strictly convex* at $x_0 \in \text{dom } f$ if $f(\frac{1}{2}x + \frac{1}{2}x_0) < \frac{1}{2}f(x_0) + \frac{1}{2}f(x)$ for all $x \in \text{dom } f \setminus \{x_0\}$.

Some properties of strict convexity at a point

It follows easily that f is strictly convex iff f is strictly convex at all points of $\text{dom } f$.

For $x_0 \in \text{dom } f$ and $\delta \in \mathbb{R}_+$ consider the set

$$C_\delta f(x_0) := \{y \in \text{dom } f : f(\frac{1}{2}x_0 + \frac{1}{2}y) \geq \frac{1}{2}f(x_0) + \frac{1}{2}f(y) - \delta\} \quad (\ni x_0);$$

one has

$$f \text{ is strictly convex at } x_0 \iff C_0 f(x_0) = \{x_0\}.$$

Fact A

Assume that f is continuous at $x_0 \in \text{dom } f$ and consider $(\delta_n)_{n \geq 1} \subset \mathbb{R}_+$ with $\delta_n \rightarrow \delta$ and $x_n \in C_{\delta_n} f(x_0)$ for $n \geq 1$. If $x_n \rightarrow y$, then $y \in C_\delta f(x_0)$. Consequently, $C_\delta f(x_0)$ is closed for every $\delta \in \mathbb{R}_+$. Moreover, if $\delta = 0$, then $f(x_n) \rightarrow f(y) = 2f(\frac{1}{2}x_0 + \frac{1}{2}y) - f(x_0)$.

Fact B

Having $x_0, x_1 \in \text{dom } f$, set $x_\lambda := (1 - \lambda)x_0 + \lambda x_1$.

(i) $x^* \in \partial f(x_0) \Leftrightarrow x_0 \in \arg \min(f - x^*)$;

(ii) if $x^* \in \partial f(x_0) \cap \partial f(x_1)$, then $x^* \in \partial f(x_\lambda)$ and $f(x_\lambda) = (1 - \lambda)f(x_0) + \lambda f(x_1)$ for every $\lambda \in [0, 1]$;

(iii) if $\lambda_0 \in]0, 1[$ is such that $f(x_{\lambda_0}) = (1 - \lambda_0)f(x_0) + \lambda_0 f(x_1)$, then $\partial f(x_\lambda) = \partial f(x_0) \cap \partial f(x_1)$ for all $\lambda \in]0, 1[$.

Fact C

Let $x_0 \in \text{dom } f$, and consider the following conditions:

(i) f is strictly convex at x_0 ;

(ii) $\partial f(x) \cap \partial f(x_0) = \emptyset$ for all $x \in \text{dom } f \setminus \{x_0\}$;

(iii) $\partial f(x) \cap \partial f(x_0) = \emptyset$ for all $x \in \text{int}(\text{dom } f) \setminus \{x_0\}$.

Then (i) \Rightarrow (ii) \Rightarrow (iii); moreover, if f is continuous at x_0 , then

(iii) \Rightarrow (i).

Recall that $\partial_\delta f^*(x^*) \subset X^{**}$ and $\partial_\delta^X f^*(x^*) := X \cap \partial_\delta f^*(x^*)$ for $x^* \in \text{dom } f^*$ and $\delta \geq 0$, X being identified with $J_X(X)$. Set

$$A_\delta^X f(x_0) := \cup \{ \partial_\delta^X f^*(x^*) \mid x^* \in \partial_\delta f(x_0) \cap \text{Im } \partial f \};$$

because $\partial_0 f(x_0) = \partial f(x_0)$, one obviously has

$$\partial f(x_0) \neq \emptyset \Leftrightarrow x_0 \in A_0^X f(x_0) \Leftrightarrow A_0^X f(x_0) \neq \emptyset.$$

Fact D

Let $x_0 \in \text{dom } f$ and $\delta \geq 0$. TFAH:

(i) $A_\delta^X f(x_0) \subset C_\delta f(x_0)$;

(ii) Assume that f is continuous at $x_0 \in \text{dom } f$. Then

$C_{\delta/2} f(x_0) \subset A_\delta^X f(x_0)$; consequently

$$C_{\delta/2} f(x_0) \subset A_\delta^X f(x_0) \subset \text{cl } A_\delta^X f(x_0) \subset C_\delta f(x_0),$$

$$C_0 f(x_0) = A_0^X f(x_0) = \text{cl } A_0^X f(x_0),$$

Because $f \in \Gamma(X)$, for $x^* \in \text{dom } f^*$, also $\partial_\delta^X f^*(x^*)$ is closed for $\delta \geq 0$ and nonempty for $\delta > 0$. Clearly, $\partial_\delta f^*(x^*) \subset \partial_{\delta'} f^*(x^*)$ for $0 \leq \delta < \delta'$, and similarly $C_\delta f(x_0) \subset C_{\delta'} f(x_0)$ for $x_0 \in \text{dom } f$. It follows that for every sequence $(\delta_n)_{n \geq 1} \subset \mathbb{P} := (0, \infty)$ with $\delta_n \rightarrow 0$, one has

$$\begin{aligned} \lim_{0 < \delta \rightarrow 0} \alpha(\partial_\delta f^*(x^*)) = 0 &\Leftrightarrow \alpha(\partial_{\delta_n} f^*(x^*)) \rightarrow 0, \\ \lim_{0 < \delta \rightarrow 0} \alpha(\partial_\delta^X f^*(x^*)) = 0 &\Leftrightarrow \alpha(\partial_{\delta_n}^X f^*(x^*)) \rightarrow 0, \\ \lim_{0 < \delta \rightarrow 0} \alpha(C_\delta f(x_0)) = 0 &\Leftrightarrow \alpha(C_{\delta_n} f(x_0)) \rightarrow 0, \\ \partial f^*(x^*) &= \bigcap_{n \geq 1} \partial_{\delta_n} f^*(x^*), \\ \partial^X f^*(x^*) &= \bigcap_{n \geq 1} \partial_{\delta_n}^X f^*(x^*), \\ C_0 f(x_0) &= \bigcap_{n \geq 1} C_{\delta_n} f(x_0) \end{aligned}$$

The next result is strongly related to Theorem 5.19 from [CSZ07].

Fact E

Let $x^* \in \text{dom } f^*$, and consider the following assertions:

(i) $\lim_{0 < \delta \rightarrow 0} \alpha(\partial_\delta^X f^*(x^*)) = 0$;

(ii) $\lim_{0 < \delta \rightarrow 0} \alpha(\partial_\delta f^*(x^*)) = 0$;

(iii) $\partial^X f^*(x^*)$ is nonempty, compact and
 $\partial_{1/n}^X f^*(x^*) \xrightarrow{H^+} \partial^X f^*(x^*)$;

(iv) $\partial f^*(x^*)$ is nonempty, compact and $\partial_{1/n} f^*(x^*) \xrightarrow{H^+} \partial f^*(x^*)$
in X^{**} ;

(v) $\partial f^*(x^*)$ is a nonempty compact subset of X and
 $\partial_{1/n} f^*(x^*) \xrightarrow{H^+} \partial f^*(x^*)$ (in X^{**});

(vi) $\partial f^*(x^*)$ is a nonempty compact subset of X .

Then (i) \Leftrightarrow (ii) \Leftrightarrow (iii) \Leftrightarrow (iv) \Leftrightarrow (v) \Rightarrow (vi).

Concerning the proof, observe that: (i) \Leftrightarrow (iii) and (ii) \Leftrightarrow (iv) follow immediately from the equivalence (i) \Leftrightarrow (iv) of Proposition H1N because the mapping $0 < \delta \mapsto \partial_\delta f^*(x^*)$ is increasing; (ii) \Rightarrow (i) is obvious because $\partial_\delta^X f^*(x^*) \subset \partial_\delta f^*(x^*)$ for $\delta \geq 0$; (v) \Rightarrow (iv) and (v) \Rightarrow (vi) are obvious.

The most involved is the implication (i) \Rightarrow (v). For this one apply [CSZ07, Prop. 4.2] which states that for $f \in \Gamma(X)$, $x^* \in \text{dom } f^*$ and $\varepsilon > 0$ one has that $\partial_\varepsilon f^*(x^*) = \text{cl}_{w^*} \partial_\varepsilon^X f^*(x^*)$.

Notice that the equivalence of conditions (i), (ii) and (iii) from Fact E are established in [CSZ07, Th. 5.19] under the supplementary hypothesis that $x^* \in \text{int}(\text{dom } f^*)$.

The following result is a counterpart of [SV18, Th. 3.3];⁷ as already said, it is our main result.

⁷[SV18] P. Shunmugaraj, V. Thota, *Some geometric and proximality properties in Banach spaces*, J. Convex Anal., 25 (2018), 1139-1158.

Theorem M

Let f be continuous function at $x_0 \in \text{dom } f$. The following statements are equivalent.

- (i) f is CLUR at x_0 ;
- (ii) If $x_n \in C_{1/n}f(x_0)$ for every n , then there exist a subsequence (x_{n_k}) and $y_0 \in C_0f(x_0)$ such that $x_{n_k} \rightarrow y_0$;
- (iii) $\alpha(A_{1/n}^X f(x_0)) \rightarrow 0$;
- (iv) $\alpha(\overline{A_{1/n}^X f(x_0)}) \rightarrow 0$;
- (v) $A_0^X f(x_0)$ is compact and $\overline{A_{1/n}^X f(x_0)} \xrightarrow{H^+} A_0^X f(x_0)$;
- (vi) $\text{cl}(A_0 f(x_0))$ is compact and $\text{cl}(A_{1/n} f(x_0)) \xrightarrow{H^+} A_0 f(x_0)$;
- (vii) $\alpha(\text{cl}(A_{1/n} f(x_0))) \rightarrow 0$.
- (viii) $\alpha(A_{1/n} f(x_0)) \rightarrow 0$.

Sketch of the proof (in which we omit $f(x_0)$):

First observe that for every sequence $(\delta_n) \subset \mathbb{P}$ with $\delta_n \rightarrow 0$, one has

$$\begin{aligned} f \text{ is CLUR at } x_0 &\Leftrightarrow [\forall (x_n) \subseteq (C_{\delta_n}) : \exists (x_{n_k}) \rightarrow x \in X] \\ &\Leftrightarrow \forall (x_n) \subseteq (C_{1/n}) : \exists (x_{n_k}) \rightarrow x \in X, \\ C_0 &= \bigcap_{n \geq 1} C_{1/n} = \bigcap_{n \geq 1} C_{\delta_n} \\ &= \bigcap_{n \geq 1} A_{1/n}^X = \bigcap_{n \geq 1} A_{\delta_n}^X = \bigcap_{n \geq 1} \overline{A_{1/n}^X} = \bigcap_{n \geq 1} \overline{A_{\delta_n}^X} = A_0^X. \end{aligned}$$

(i) \Leftrightarrow (ii) is nothing else than the the first equivalence above.

(iii) \Leftrightarrow (iv) and (vii) \Leftrightarrow (iii) because $\alpha(A) = \alpha(\overline{A})$ in a metric space;

clearly, (ii) \Leftrightarrow (ii') by (i) \Leftrightarrow (iv) in Proposition H1N, where

(ii') $\alpha(C_{1/n}) \rightarrow 0$;

(ii') \Leftrightarrow (iii) by Fact D;

(iv) \Leftrightarrow (v) by (i) \Leftrightarrow (ii) in Proposition HIN and the equalities $C_0 = \bigcap_{n \geq 1} C_{1/n} = \dots$ above;

(viii) \Rightarrow (iii) because $A_\delta^X \subseteq A_\delta$ for $\delta \in \mathbb{R}_+$.

(v) \Rightarrow (vi) Fix $\varepsilon > 0$; by hypothesis, there exists $n_0 \in \mathbb{N}^*$ such that

$$\text{cl } A_\delta^X \subseteq A_0^X + \varepsilon U_X \subseteq A_0^X + \varepsilon U_{X^{**}},$$

where $\delta := 1/n_0 > 0$. Consider $y^{**} \in A_\delta$; then there exists $x^* \in \partial_\delta f(x_0) \cap \text{Im } \partial f$ such that $y^{**} \in \partial_\delta f^*(x^*)$.

One continues using [CSZ07, Prop. 4.2] as in the proof of Fact E, one gets $\text{cl } A_0 \subset A_0^X \subset A_0$, and so $A_0 = A_0^X = \bigcap_{n \geq 1} \text{cl } A_{1/n}$.

(vi) \Rightarrow (vii) Because $\text{cl}(A_{1/n}f(x_0)) \rightarrow^{H^+} A_0f(x_0)$, one has $\text{cl}(A_{1/n}f(x_0)) \rightarrow^{H^+} \text{cl}(A_0f(x_0))$; as $\text{cl}(A_0f(x_0))$ is compact, one has also $\text{cl}(A_{1/n}f(x_0)) \rightarrow^{H^+} \text{cl}(A_0f(x_0))$. One applies now the implication (iii) \Rightarrow (iv) from Proposition HIN

From Theorem M one obtains immediately the next corollary

Corollary F

Let f be continuous at $x_0 \in \text{dom } f$.

(i) If f is CLUR at x_0 then $A_0 f(x_0) = A_0^X f(x_0)$ and so $A_0 f(x_0)$ is non-empty and compact.

(ii) f is LUR at $x_0 \Leftrightarrow [f \text{ is CLUR at } x_0 \text{ and } C_0 = \{x_0\}]$
 $\Leftrightarrow f \text{ is CLUR at } x_0 \text{ \& } f \text{ is strictly convex at } x_0].$

Definition

Let $x_0 \in \text{dom } f$; f is said to be:

(i) *strongly convex at x_0* , if $\partial f(x_0) \neq \emptyset$ and (x_n) converges whenever $(f - x^*)(x_n) \rightarrow (f - x^*)(x_0)$ and $x^* \in \partial f(x_0)$.

(ii) *nearly strongly convex at x_0* , if $\partial f(x_0) \neq \emptyset$ and (x_n) has a convergent subsequence whenever $(f - x^*)(x_n) \rightarrow (f - x^*)(x_0)$ and $x^* \in \partial f(x_0)$.

(iii) *U-convex at x_0* if for every sequence (x_n) in $\text{dom } f$ satisfying $\frac{1}{2}f(x_n) + \frac{1}{2}f(x_0) - f(\frac{1}{2}x_n + \frac{1}{2}x_0) \rightarrow 0$ there exists $x^* \in \partial f(x_0)$ such that $(f - x^*)(x_n) \rightarrow (f - x^*)(x_0)$.

(iv) *nearly U-convex at x_0* if for every sequence (x_n) in $\text{dom } f$ satisfying $\frac{1}{2}f(x_n) + \frac{1}{2}f(x_0) - f(\frac{1}{2}x_n + \frac{1}{2}x_0) \rightarrow 0$, there exists $x^* \in \partial f(x_0)$ and a subsequence (x_{n_k}) such that $(f - x^*)(x_{n_k}) \rightarrow (f - x^*)(x_0)$.

Proposition H

Let $x_0 \in \text{dom } f$ and $\partial f(x_0) \neq \emptyset$. Consider the following statements.

(i) f is nearly strongly convex at x_0 .

(ii) $\alpha(L(x^*, f, x_0, \frac{1}{n})) \rightarrow 0$ for every $x^* \in \partial f(x_0)$.

(iii) $\alpha(\partial_{\frac{1}{n}}^X f^*(x^*)) \rightarrow 0$ for every $x^* \in \partial f(x_0)$.

(iv) $\alpha(\partial_{\frac{1}{n}} f^*(x^*)) \rightarrow 0$ for every $x^* \in \partial f(x_0)$.

(v) $\partial^X f^*(x^*)$ is compact and f^* is strongly sub-differentiable at every $x^* \in \partial f(x_0)$.

Then (i) \Leftrightarrow (ii) \Leftrightarrow (iii) \Leftrightarrow (iv). If $\partial f(x_0) \subset \text{int}(\text{dom } f^*)$ then (iv) \Leftrightarrow (v).

Thank you for your attention!